

**UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

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**In re:**

**FTX TRADING, LTD,  
*et al.*,**

**Case No.: 22-11068 (JTD)**

**Chapter 11**

**(Jointly Administered)**

**Debtors.**

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**NOTICE OF APPEARANCE AND REQUEST  
FOR SERVICE OF NOTICES AND PLEADINGS**

**PLEASE TAKE NOTICE** that pursuant to Rule 9010(b) of the Federal Rules of Bankruptcy Procedure<sup>1</sup>, New York State Attorney General Letitia James, hereby files this Notice of Appearance and Request For Service of Notices and Pleadings on behalf of the New York State Department of Taxation and Finance ("NYSTAX"), a party in interest in the above captioned bankruptcy case ("Case"), and does hereby request that all notices required to be given to NYSTAX under Rule 2002, including notices under Rule 2002(i) that, but for this request, would be provided only to committees appointed pursuant to Title 11, U.S.C. § 101 et. seq. or their authorized agents, and all papers served or required to be served in this Case and any related adversary proceeding, be given to and served upon:

Robert J. Rock  
Assistant Attorney General  
Office of the New York State Attorney General  
Civil Recoveries Bureau, Bankruptcy Litigation Unit  
The Capitol  
Albany, New York 12224-0341

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<sup>1</sup> Unless otherwise stated, all references to "Rule" shall mean the Federal Rules of Bankruptcy Procedure.

**PLEASE TAKE FURTHER NOTICE** that the foregoing request includes not only notices and papers referred to in Rule 2002 but also includes, without limitation, notices of any applications, motions, orders, complaints, demands, hearings, requests or petitions, answering or reply papers, memoranda and briefs in support of any of the foregoing, disclosure statements, plans of reorganization, or any other document brought before this Court with respect to this Case, whether formal or informal, whether written or oral, and whether transmitted or conveyed by mail, delivery, telephone, facsimile, electronic transmission or otherwise. The Clerk of the Court is requested to place the names and addresses of counsel as set forth in this Notice on any Master Service List in this Case.

Dated: October 5, 2023  
Albany, New York

Respectfully submitted,  
Letitia James  
Attorney General of the State of New York

By: *Robert J. Rock*  
Robert J. Rock  
Assistant Attorney General  
Civil Recoveries Bureau  
Bankruptcy Litigation Unit  
The Capitol  
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**CERTIFICATE OF SERVICE**

I, Robert J. Rock of the NYS Office of the Attorney General, hereby certify that on the 5<sup>th</sup> day of October, 2023, I electronically filed the annexed Notice of Appearance and Request for Service of Notices and Pleadings with the Clerk of the Bankruptcy Court for the District of Delaware, using the CM/ECF system maintained by the Court for this Case.

*Robert J. Rock*

Robert J. Rock